STIC Biotechnology Systems Branch

RAW SEQUENCE LISTING ERROR REPORT

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number:	10/538.041	
Source:	TEWA	
Date Processed by STIC:	2/6/06	

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.
PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION AND PATENTIN SOFTWARE QUESTIONS, PLEASE CONTACT MARK SPENCER, TELEPHONE: 571-272-2510; FAX: 571-273-0221

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE <u>CHECKER</u> <u>VERSION 4.4.0 PROGRAM</u>, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

http://www.uspto.gov/web/offices/pac/checker/chkrnote.htm

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail. Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

- 1. EFS-Bio (http://www.uspto.gov/ebc/efs/downloads/documents.htm, EFS Submission User Manual ePAVE)
- 2. U.S. Postal Service: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
- 3. Hand Carry, Federal Express, United Parcel Service, or other delivery service (EFFECTIVE 01/14/05):
 U.S. Patent and Trademark Office, Mail Stop Sequence, Customer Window, Randolph Building, 401 Dulany Street, Alexandria, VA 22314

Revised 01/10/06

Raw Sequence Listing Error Summary

ERROR DETECTED	SUGGESTED CORRECTION SERIAL NUMBER: 10/538,041	
ATTN: NEW RULES CASES: PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE		
IWrapped Nucleics Wrapped Aminos	The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor after creating it. Please adjust your right margin to .3; this will prevent "wrapping."	
2Invalid Line Length	The rules require that a line not exceed 72 characters in length. This includes white spaces.	
3Misaligned Amino Numbering	The numbering under each 5 th amino acid is misaligned. Do not use tab codes between numbers; use space characters, instead.	
4Non-ASCII	The submitted file was not saved in ASCII(DOS) text, as required by the Sequence Rules. Please ensure your subsequent submission is saved in ASCII text.	
5Variable Length	Sequence(s) contain n's or Xaa's representing more than one residue. Per Sequence Rules, each n or Xaa can only represent a single residue. Please present the maximum number of each residue having variable length and indicate in the <220>-<223> section that some may be missing.	
6PatentIn 2.0 "bug"	A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid sequences(s) Normally, PatentIn would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. This applies to the mandatory <220>-<223> sections for Artificial or Unknown sequences.	
7Skipped Sequences (OLD RULES)	Sequence(s) missing. If intentional, please insert the following lines for each skipped sequence: (2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown) (i) SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading) (xi) SEQUENCE DESCRIPTION:SEQ ID NO:X: (insert SEQ ID NO where "X" is shown) This sequence is intentionally skipped	
	Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to include the skipped sequences.	
8Skipped Sequences (NEW RULES)	Sequence(s) missing. If intentional, please insert the following lines for each skipped sequence. <210> sequence id number <400> sequence id number 000	
9Use of n's or Xaa's (NEW RULES)	Use of n's and/or Xaa's have been detected in the Sequence Listing. Per 1.823 of Sequence Rules, use of <220>-<223> is MANDATORY if n's or Xaa's are present. In <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represents.	
10Invalid <213> Response	Per 1.823 of Sequence Rules, the only valid <213> responses are: Unknown, Artificial Sequence, or scientific name (Genus/species). <220>-<223> section is required when <213> response is Unknown or is Artificial Sequence	
11Use of <220>	Sequence(s) missing the <220> "Feature" and associated numeric identifiers and responses. Use of <220> to <223> is MANDATORY if <213> "Organism" response is "Artificial Sequence" or "Unknown." Please explain source of genetic material in <220> to <223> section. (See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of Sequence Rules)	
PatentIn 2.0 "bug"	Please do not use "Copy to Disk" function of PatentIn version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.	
13 Misuse of n/Xaa	"n" can only represent a single nucleotide; "Xaa" can only represent a single amino acid	



IFWP

RAW SEQUENCE LISTING DATE: 02/06/2006
PATENT APPLICATION: US/10/538,041 TIME: 14:24:06

Input Set : A:\Sequence Listing for USSN 10-538041.txt

Output Set: N:\CRF4\02012006\J538041.raw

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4 <110> APPLICANT: TOOLGEN, Inc.
 6 <120> TITLE OF INVENTION: Regulatory Zinc Finger Proteins
                                                   Does Not Comply
Corrected Diskette Needed
 8 <130> FILE REFERENCE: Q88285
10 <140> CURRENT APPLICATION NUMBER: US 10/538,041
11 <141> CURRENT FILING DATE: 2005-06-08
13 <150> PRIOR APPLICATION NUMBER: US 60/431,892
14 <151> PRIOR FILING DATE: 2002-12-09
16 <160> NUMBER OF SEQ ID NOS: 129
18 <170> SOFTWARE: PatentIn version 3.2
20 <210> SEQ ID NO: 1
21 <211> LENGTH: 23
22 <212> TYPE: PRT
23 <213> ORGANISM: Homo sapiens
25 <400> SEQUENCE: 1
27 Tyr Lys Cys Lys Gln Cys Gly Lys Ala Phe Gly Cys Pro Ser Asn Leu
30 Arg Arg His Gly Arg Thr His
             20
34 <210> SEQ ID NO: 2
35 <211> LENGTH: 23
36 <212> TYPE: PRT
37 <213> ORGANISM: Homo sapiens
39 <400> SEQUENCE: 2
41 Tyr Ser Cys Gly Ile Cys Gly Lys Ser Phe Ser Asp Ser Ser Ala Lys
42 1
                                      10
       5
                                                          15
44 Arg Arg His Cys Ile Leu His
45 20
48 <210> SEQ ID NO: 3
49 <211> LENGTH: 23
50 <212> TYPE: PRT
51 <213> ORGANISM: Homo sapiens
53 <400> SEQUENCE: 3
55 Tyr Thr Cys Ser Asp Cys Gly Lys Ala Phe Arg Asp Lys Ser Cys Leu
56 1
                                      10
58 Asn Arg His Arg Arg Thr His
59
    20
62 <210> SEQ ID NO: 4
63 <211> LENGTH: 23
64 <212> TYPE: PRT
65 <213> ORGANISM: Homo sapiens
67 <400> SEQUENCE: 4
69 Tyr Lys Cys Gly Gln Cys Gly Lys Phe Tyr Ser Gln Val Ser His Leu
70 1
                  5
                                  10
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Input Set : A:\Sequence Listing for USSN 10-538041.txt
Output Set: N:\CRF4\02012006\J538041.raw

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72 Thr Arg His Gln Lys Ile His
               20
76 <210> SEQ ID NO: 5
77 <211> LENGTH: 23
78 <212> TYPE: PRT
79 <213> ORGANISM: Homo sapiens
81 <400> SEQUENCE: 5
83 Tyr Lys Cys Glu Glu Cys Gly Lys Ala Phe Arg Gln Ser Ser His Leu
86 Thr Thr His Lys Ile Ile His
87
               20
90 <210> SEQ ID NO: 6
91 <211> LENGTH: 23
92 <212> TYPE: PRT
93 <213> ORGANISM: Homo sapiens
95 <400> SEQUENCE: 6
97 Tyr Glu Cys Glu Lys Cys Gly Lys Ala Phe Asn Gln Ser Ser Asn Leu
                                        10
100 Thr Arg His Lys Lys Ser His
104 <210> SEQ ID NO: 7
105 <211> LENGTH: 23
106 <212> TYPE: PRT
107 <213> ORGANISM: Homo sapiens
109 <400> SEQUENCE: 7
111 Tyr Val Cys Ser Lys Cys Gly Lys Ala Phe Thr Gln Ser Ser Asn Leu
112 1
114 Thr Val His Gln Lys Ile His
115
                20
118 <210> SEQ ID NO: 8
119 <211> LENGTH: 23
120 <212> TYPE: PRT
121 <213> ORGANISM: Homo sapiens
123 <400> SEQUENCE: 8
125 Tyr Lys Cys Pro Asp Cys Gly Lys Ser Phe Ser Gln Ser Ser Ser Leu
126 1
                                         10
128 Ile Arg His Gln Arg Thr His
129
132 <210> SEQ ID NO: 9
133 <211> LENGTH: 25
134 <212> TYPE: PRT
135 <213> ORGANISM: Homo sapiens
137 <400> SEQUENCE: 9
139 Tyr Val Cys Asp Val Glu Gly Cys Thr Trp Lys Phe Ala Arg Ser Asp
140 1
                                         10
142 Glu Leu Asn Arg His Lys Lys Arg His
143
                20
146 <210> SEQ ID NO: 10
147 <211> LENGTH: 23
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Input Set : A:\Sequence Listing for USSN 10-538041.txt

Output Set: N:\CRF4\02012006\J538041.raw

148 <212> TYPE: PRT 149 <213> ORGANISM: Homo sapiens 151 <400> SEQUENCE: 10 154 Phe Gln Cys Lys Thr Cys Gln Arg Lys Phe Ser Arg Ser Asp His Leu 157 Lys Thr His Thr Arg Thr His 158 20 161 <210> SEQ ID NO: 11 162 <211> LENGTH: 23 163 <212> TYPE: PRT 164 <213> ORGANISM: Homo sapiens 166 <400> SEQUENCE: 11 168 Tyr Lys Cys Met Glu Cys Gly Lys Ala Phe Asn Arg Arg Ser His Leu 10 171 Thr Arg His Gln Arg Ile His 172 175 <210> SEQ ID NO: 12 176 <211> LENGTH: 23 177 <212> TYPE: PRT 178 <213> ORGANISM: Homo sapiens 180 <400> SEQUENCE: 12 182 Tyr Ile Cys Arg Lys Cys Gly Arg Gly Phe Ser Arg Lys Ser Asn Leu 183 1 185 Ile Arg His Gln Arg Thr His 186 189 <210> SEQ ID NO: 13 190 <211> LENGTH: 23 191 <212> TYPE: PRT 192 <213> ORGANISM: Homo sapiens 194 <400> SEQUENCE: 13 196 Tyr Glu Cys Asp His Cys Gly Lys Ala Phe Ser Val Ser Ser Asn Leu 197 1 10 199 Asn Val His Arg Arg Ile His 200 20 204 <210> SEQ ID NO: 14 205 <211> LENGTH: 23 206 <212> TYPE: PRT 207 <213> ORGANISM: Homo sapiens 209 <400> SEQUENCE: 14 211 Tyr Thr Cys Lys Gln Cys Gly Lys Ala Phe Ser Val Ser Ser Leu 212 1 10 214 Arg Arg His Glu Thr Thr His 215 20 218 <210> SEQ ID NO: 15 219 <211> LENGTH: 23 220 <212> TYPE: PRT 221 <213> ORGANISM: Homo sapiens

225 Tyr Glu Cys Asn Tyr Cys Gly Lys Thr Phe Ser Val Ser Ser Thr Leu

223 <400> SEQUENCE: 15

Input Set : A:\Sequence Listing for USSN 10-538041.txt

Output Set: N:\CRF4\02012006\J538041.raw

226 1 5 10 15 228 Ile Arg His Gln Arg Ile His 20 232 <210> SEQ ID NO: 16 233 <211> LENGTH: 23 234 <212> TYPE: PRT 235 <213> ORGANISM: Homo sapiens 237 <400> SEQUENCE: 16 239 Tyr Arg Cys Glu Glu Cys Gly Lys Ala Phe Arg Trp Pro Ser Asn Leu 240 1 242 Thr Arg His Lys Arg Ile His 243 20 246 <210> SEO ID NO: 17 247 <211> LENGTH: 23 248 <212> TYPE: PRT 249 <213> ORGANISM: Homo sapiens 251 <400> SEQUENCE: 17 254 Tyr Glu Cys Asp His Cys Gly Lys Ser Phe Ser Gln Ser Ser His Leu 5 10 257 Asn Val His Lys Arg Thr His 258 20 261 <210> SEQ ID NO: 18 262 <211> LENGTH: 23 263 <212> TYPE: PRT 264 <213> ORGANISM: Homo sapiens 266 <400> SEQUENCE: 18 268 Phe Leu Cys Gln Tyr Cys Ala Gln Arg Phe Gly Arg Lys Asp His Leu 10 271 Thr Arg His Met Lys Lys Ser 272 20 275 <210> SEQ ID NO: 19 276 <211> LENGTH: 24 277 <212> TYPE: PRT 278 <213> ORGANISM: Artificial 280 <220> FEATURE: 281 <223> OTHER INFORMATION: Artificial zinc finger domain 283 <400> SEQUENCE: 19 285 Tyr Arg Cys Lys Tyr Cys Asp Arg Ser Phe Ser Asp Ser Ser Asn Leu 286 1 288 Gln Arg His Val Arg Asn Ile His 289 20 292 <210> SEQ ID NO: 20 293 <211> LENGTH: 83 294 <212> TYPE: PRT 295 <213> ORGANISM: Artificial 297 <220> FEATURE: 298 <223> OTHER INFORMATION: artificial zinc finger protein

302 Tyr Lys Cys Gly Gln Cys Gly Lys Phe Tyr Ser Gln Val Ser His Leu

300 <400> SEQUENCE: 20

Input Set : A:\Sequence Listing for USSN 10-538041.txt

Output Set: N:\CRF4\02012006\J538041.raw

303 1 304 Thr Arg His Gln Lys Ile His Thr Gly Glu Lys Pro Phe Gln Cys Lys 20 307 Thr Cys Gln Arg Lys Phe Ser Arg Ser Asp His Leu Lys Thr His Thr 310 Arg Thr His Thr Gly Glu Lys Pro Tyr İle Cys Arg Lys Cys Gly Arg 313 Gly Phe Ser Arg Lys Ser Asn Leu Ile Arg His Gln Arg Thr His Thr 314 65 316 Gly Glu Lys 320 <210> SEQ ID NO: 21 321 <211> LENGTH: 83 322 <212> TYPE: PRT 323 <213> ORGANISM: Artificial 325 <220> FEATURE: 326 <223> OTHER INFORMATION: artificial zinc finger protein 328 <400> SEQUENCE: 21 330 Tyr Lys Cys Glu Glu Cys Gly Lys Ala Phe Arg Gln Ser Ser His Leu . 5 333 Thr Thr His Lys Ile Ile His Thr Gly Glu Lys Pro Tyr Lys Cys Met 336 Glu Cys Gly Lys Ala Phe Asn Arg Arg Ser His Leu Thr Arg His Gln 339 Arg Ile His Thr Gly Glu Lys Pro Phe Gln Cys Lys Thr Cys Gln Arg 55 342 Lys Phe Ser Arg Ser Asp His Leu Lys Thr His Thr Arg Thr His Thr 343 65 70 345 Gly Glu Lys 349 <210> SEQ ID NO: 22 350 <211> LENGTH: 83 351 <212> TYPE: PRT 352 <213> ORGANISM: Artificial 354 <220> FEATURE: 355 <223> OTHER INFORMATION: artificial zinc finger protein 357 <400> SEQUENCE: 22 359 Tyr Lys Cys Gly Gln Cys Gly Lys Phe Tyr Ser Gln Val Ser His Leu 360 1 362 Thr Arg His Gln Lys Ile His Thr Gly Glu Lys Pro Phe Gln Cys Lys 365 Thr Cys Gln Arg Lys Phe Ser Arg Ser Asp His Leu Lys Thr His Thr 368 Arg Thr His Thr Gly Glu Lys Pro Tyr Lys Cys Met Glu Cys Gly Lys 55 371 Ala Phe Asn Arg Arg Ser His Leu Thr Arg His Gln Arg Ile His Thr 372 65 70 374 Gly Glu Lys 378 <210> SEQ ID NO: 23

379 <211> LENGTH: 83 380 <212> TYPE: PRT

```
<210>
     123
<211>
      21
<212>
      PRT
<213>
      Artificial
<220>
<223>
      zinc finger consensus
<220>
<221>
      MISC_FEATURE
<222>
      (2)..(2)
<223>
      any amino acid
<220>
<221>
      MISC_FEATURE
<222>
       (3)...(3)
<223> between 1 and 4 amino acids of any amino acid
            variable length not permetted - see iten 5
on Even
funnay Sheet
```

from Sequence 123

10/538,041 7

<220>

<221> MISC_FEATURE

<222> (20)..(20)

<223> between one and three residues of any amino acid

<400> 123

same env

Please covert this type of end in subsequent sequence

P

RAW SEQUENCE LISTING ERROR SUMMARY DATE: 02/06/2006 PATENT APPLICATION: US/10/538,041 TIME: 14:24:07

Input Set : A:\Sequence Listing for USSN 10-538041.txt

Output Set: N:\CRF4\02012006\J538041.raw

Please Note:

Use of n and/or Xaa have been detected in the Sequence Listing. Please review the Sequence Listing to ensure that a corresponding explanation is presented in the <220> to <223> fields of each sequence which presents at least one n or Xaa.

Seq#:123; Xaa Pos. 2,3,5,6,7,8,9,10,11,12,13,14,15,16,18,19,20

Seq#:124; Xaa Pos. 2,3,5,6,7,8,9,11,14,15,18,19,20

Seg#:125; Xaa Pos. 3,4,6

Invalid <213> Responsers

Use of "Artificial" only as "<213> Organism" response is incomplete, per 1.823(b) of New Sequence Rules. Valid response is Artificial Sequence.

Seq#:19,20,21,22,23,24,25,26,27,28,29,30,31,32,33,34,35,36,37,38,39,40,41,42 Seq#:43,44,45,46,47,48,49,50,51,52,53,54,55,56,57,58,59,60,61,62,63,64,65,66 Seq#:67,68,69,70,71,123,124,125,126,127,128

VERIFICATION SUMMARY PATENT APPLICATION: US/10/538,041

DATE: 02/06/2006 TIME: 14:24:07

Input Set : A:\Sequence Listing for USSN 10-538041.txt

Output Set: N:\CRF4\02012006\J538041.raw

L:3279 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:123 after pos.:0

M:341 Repeated in SeqNo=123

L:3304 M:283 W: Missing Blank Line separator, <220> field identifier

L:3351 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:124 after pos.:0

M:341 Repeated in SeqNo=124

L:3384 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:125 after pos.:0